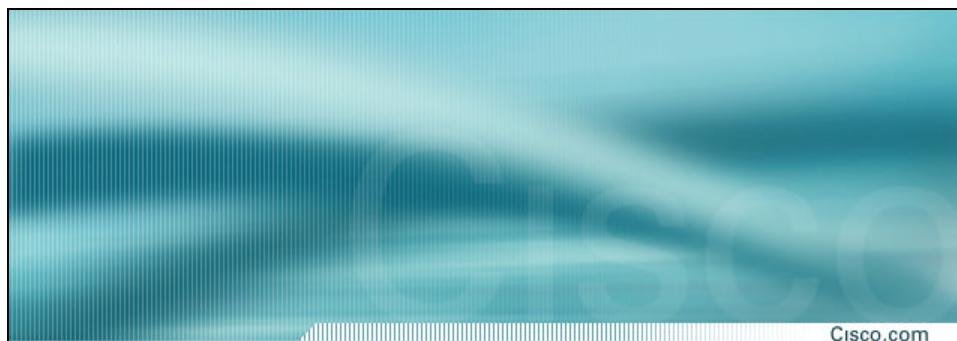


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Advanced IPSec Algorithms and Protocols

Session SEC-4010

Saadat Malik

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Agenda

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- **Analysis of Baseline IPSec Functionality**
 - IKE: IPSec Negotiation Protocol Flow**
 - PKI: IPSec Authentication Architecture**
 - SHA and MD5: IPSec Hashing Mechanisms**
 - DES and AES: IPSec Encryption Techniques**
- **Analysis of the Enhancements in IPSec**
 - Remote Access Features**
 - Tunnel End Point Discovery (TED)**
 - IPSec NAT Traversal**
 - Dead Peer Discovery (DPD)**
 - IPSec Work in Progress: IKE v2, Multicast IPSec**

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Agenda

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- **Analysis of Baseline IPSec Functionality**
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 - PKI: IPSec Authentication Architecture**
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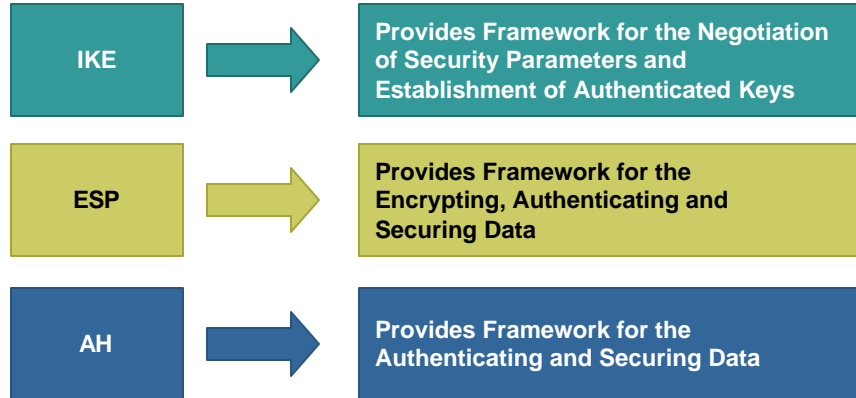
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IPSec Composition

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IPSec Combines Three Main Protocols into a Cohesive Security Framework



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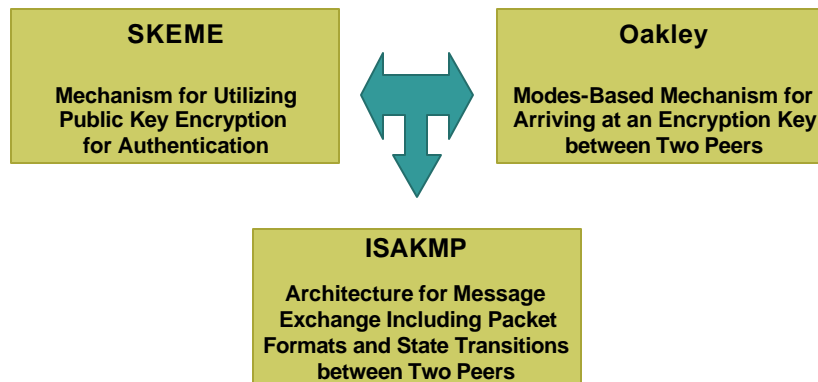
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What Is IKE?

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IKE (Internet Key Exchange) (RFC 2409) Is a Hybrid Protocol



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Why IKE?

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- **IKE solves the problems of manual and unscalable implementation of IPSec by automating the entire key exchange process**

Negotiation of SA characteristics

Automatic key generation

Automatic key refresh

Manageable manual configuration

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How Does IKE Work?

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IKE Is a TWO Phase Protocol

Phase 1 Exchange

Peers Negotiate a Secure, Authenticated Channel with which to Communicate 'Main Mode' or 'Aggressive Mode' Accomplish a Phase I Exchange



Phase 2 Exchange

Security Associations Are Negotiated on Behalf of IPSec Services; 'Quick Mode' Accomplishes a Phase II Exchange

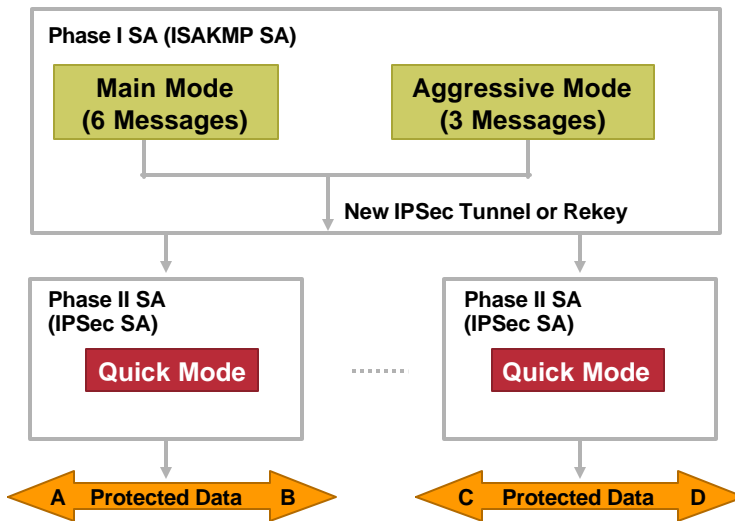
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How Does IKE Work?

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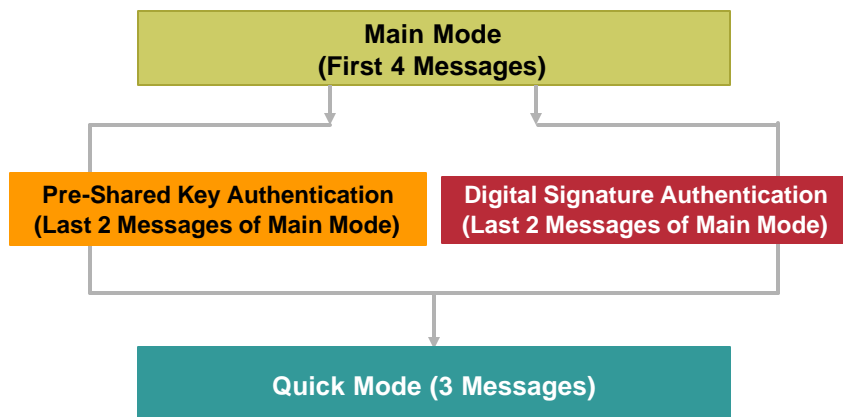
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IKE Phase 1 (Main Mode): Preparation for Sending 'Message 1 and 2'

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Goal: Negotiation of IKE SA Parameters

Generation of Initiator Cookie

A 8 Byte Pseudo-Random Number Used for Anti-Clogging

$$CKY-I = md5\{\{src_ip, dest_ip\}, Random\ Number\}$$

Generation of Responder Cookie

A 8 Byte Pseudo-Random Number Used for Anti-Clogging

$$CKY-R = md5\{\{src_ip, dest_ip\}, Random\ Number\}$$

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IKE Phase 1 (Main Mode): Sending 'Message 1'

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The Initiator Proposes a Set of Attributes to Base the SA on

Initiator  Responder

Initiator Cookie (Calculated and Inserted Here)			
Responder Cookie (Left 0 for Now)			
SA	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	1	SA Payload	Length
SA Payload (Includes DOI and Situation)			
Next Payload	1	Proposal Payload	Length
Proposal Payload			
Next Payload	1	Transform Payload	Length
Transform Payload			
Next Payload	1	Proposal Payload	Length
Proposal Payload			
0	1	Transform Payload	Length
Transform Payload			

DOI Identifies the Exchange To Be Occurring to Setup IPsec

SPI = 0 For All Phase 1 Messages
Includes Proposal #, Protocol ID, SPI Size, # of Transforms, SPI (Two Proposals Shown Here)

Includes Transform #, Transform ID, SA Attributes, For Example, DES, MD5, DH 1, Pre Share, Timeout (Two Transform Sets Shown Here)

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IKE Phase 1 (Main Mode): Sending 'Message 2'

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The Responder Sends Back the One Set of Attributes Acceptable to It



Initiator Cookie (Same as Before)			
Responder Cookie (Calculated and Inserted Here)			
SA	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	1	SA Payload	Length
SA Payload (Includes DOI and Situation)			
Next Payload	1	Proposal Payload	Length
Proposal Payload (Includes Proposal #, Protocol ID, SPI Size, # of Transforms, SPI)			
0	1	Transform Payload	Length
Transform Payload (Includes Transform #, Transform ID, SA Attributes)			

DOI Identifies the Exchange To Be Occurring to Setup IPSec

PROTO_ISAKMP, SPI = 0 for All Phase 1 Messages

KEY_OAKLEY = type DES, MD5, DH 1 Pre-Share

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IKE Phase 1 (Main Mode): Preparation for Sending 'Message 3 and 4'

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Goal: Exchange of Information Required for Key Generation Using DH Exchange

Generation of DH Public Value by Initiator

$$\text{DH Public Value} = X_a$$

$$X_a = g^a \text{ mod } p$$

Where g Is the Generator and p a Large Prime Number and a Is a Private Secret Known Only to the Initiator

Generation of DH Public Value by Responder

$$\text{DH Public Value} = X_b$$

$$X_b = g^b \text{ mod } p$$

Where g Is the Generator and p a Large Prime Number and b Is a Private Secret Known Only to the Responder

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IKE Phase 1 (Main Mode): Preparation for Sending 'Message 3 and 4'

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Generation of a Nonce by Initiator

Nonce Is a Very Large Random Number
Initiator Nonce = N_i

Generation of a Nonce by Responder

Nonce Is a Very Large Random Number
Responder Nonce = N_r

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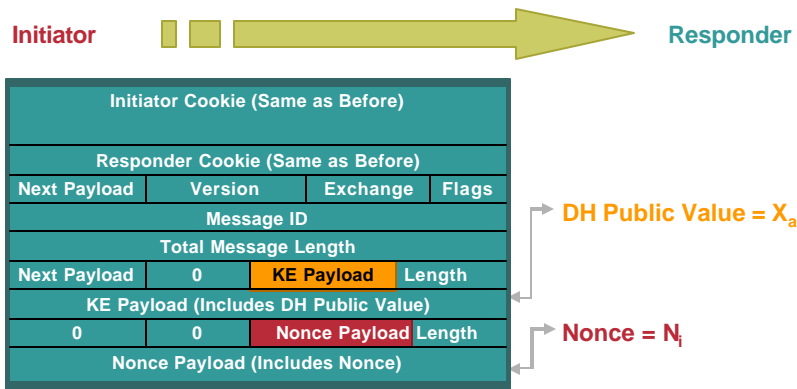
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IKE Phase 1 (Main Mode): Sending 'Message 3'

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The Initiator Sends Its DH Public Value X_a and Nonce N_i



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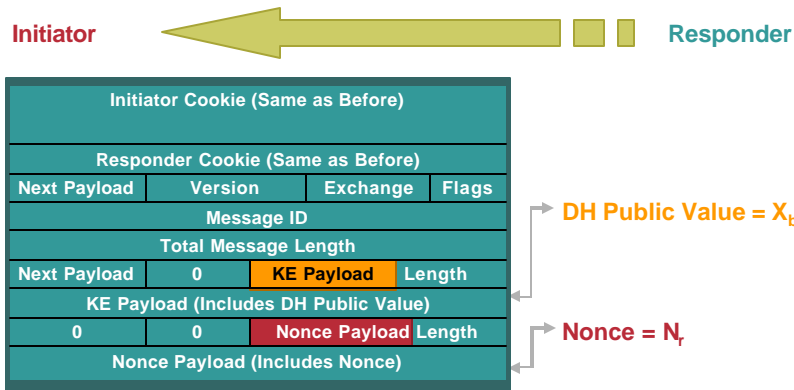
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IKE Phase 1 (Main Mode): Sending 'Message 4'

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The Responder Sends Its DH Public Value X_b and Nonce N_r



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IKE Phase 1 (Main Mode): Preparation for Sending 'Message 5 and 6'

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**Goal: Exchange of Authentication
Information Using DH**

Calculation of the Shared DH Secret by Initiator

$$\text{Shared Secret} = (X_b)^a \text{ mod } p$$

$$\begin{aligned} (X_b)^a \text{ mod } p &= \\ (X_a)^b \text{ mod } p &= \\ &= \\ &= g^{ab} \end{aligned}$$

Calculation of the Shared DH Secret by Responder

$$\text{Shared Secret} = (X_a)^b \text{ mod } p$$

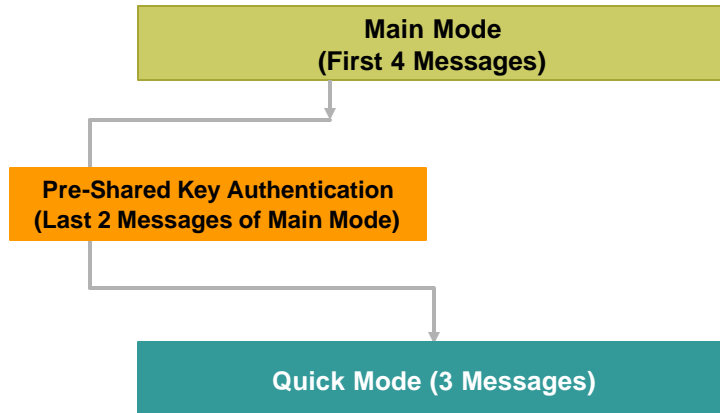
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IKE Phase 1 (Main Mode): (Pre-Shared Keys) Preparation for Sending 'Message 5 and 6'

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Calculation of Three Keys (Initiator)

- SKEYID_d—Used to Calculate Subsequent IPSec Keying Material
- SKEYID_a—Used to Provide Data integrity and Authentication to IKE Messages
- SKEYID_e—Used to Encrypt IKE Messages

$$\text{SKEYID} = \text{PRF}(\text{Pre-Shared Key}, N_i | N_r)$$

PRF = A Pseudo Random Function Based on the Negotiated Hash

$$\text{SKEYID}_d = \text{PRF}(\text{SKEYID}, g^{ab} | \text{CKY-I} | \text{CKY-R} | 0)$$

$$\text{SKEYID}_a = \text{PRF}(\text{SKEYID}, \text{SKEYID}_d | g^{ab} | \text{CKY-I} | \text{CKY-R} | 1)$$

$$\text{SKEYID}_e = \text{PRF}(\text{SKEYID}, \text{SKEYID}_a | g^{ab} | \text{CKY-I} | \text{CKY-R} | 2)$$

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IKE Phase 1 (Main Mode): (Pre-Shared Keys) Preparation for Sending 'Message 5 and 6'

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Calculation of Three Keys (Responder)

- SKEYID_d—Used to Calculate Subsequent IPsec Keying Material
- SKEYID_a—Used to Provide Data integrity and Authentication to IKE Messages
- SKEYID_e—Used to Encrypt IKE Messages

$$\text{SKEYID} = \text{PRF}(\text{Pre-Shared Key}, N_i | N_r)$$

$$\text{SKEYID}_d = \text{PRF}(\text{SKEYID}, g^{ab} | \text{CKY-I} | \text{CKY-R} | 0)$$

$$\text{SKEYID}_a = \text{PRF}(\text{SKEYID}, \text{SKEYID}_d | g^{ab} | \text{CKY-I} | \text{CKY-R} | 1)$$

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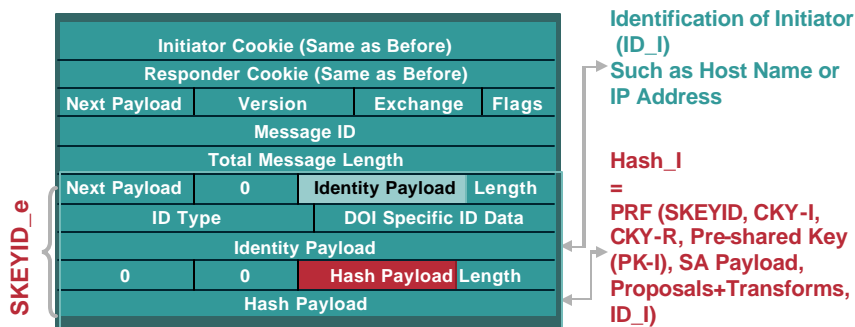
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IKE Phase 1 (Main Mode): (Pre-Shared Keys) **Sending 'Message 5'**

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The Initiator Sends Its Authentication Material and ID



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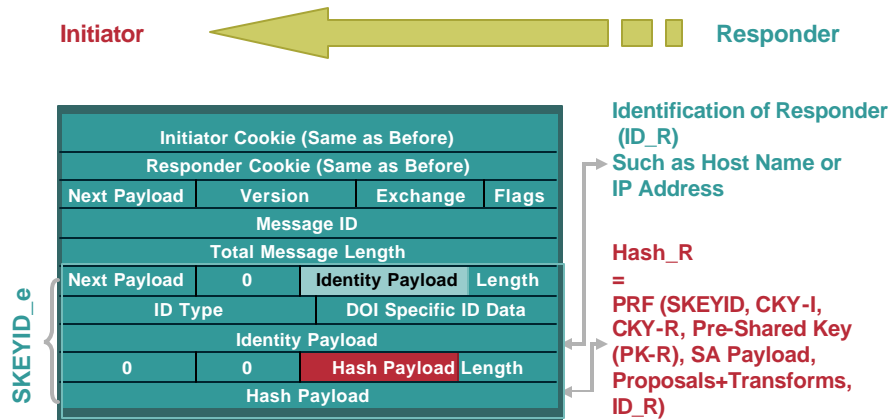
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IKE Phase 1 (Main Mode): (Pre-Shared Keys) Sending 'Message 6'

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The Responder Sends Its Authentication Material and ID



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IKE Phase 1 (Main Mode): Completion of Phase 1

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Initiator Authenticates the Responder

1. Decrypt message using SKEYID_E
2. Find configured PK-R using ID_R
3. Calculate Hash_R on it's own
4. If received Hash_R = self-generated Hash_R then authentication = successful!!

Responder Authenticates the Initiator

1. Decrypt message using SKEYID_E
2. Find configured PK-I using ID_I
3. Calculate Hash_I on it's own
4. If received Hash_I = self-generated Hash_I then authentication = successful!!



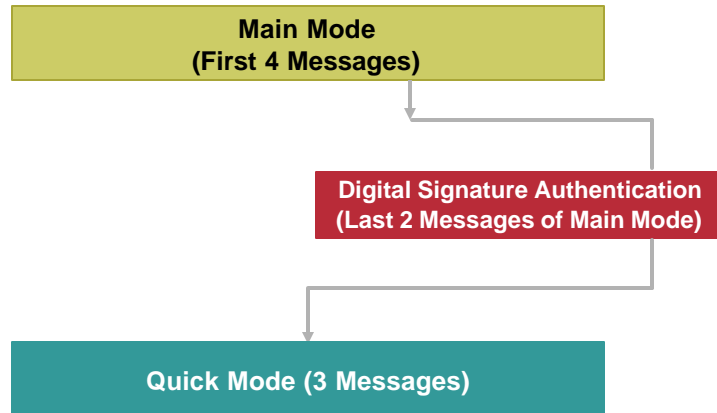
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IKE Phase 1 (Main Mode): (Digital Signatures) Preparation for Sending 'Message 5 and 6'

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Calculation of Three Keys (Initiator)

SKEYID_d—Used to Calculate Subsequent IPsec Keying Material
SKEYID_a—Used to Provide Data Integrity and Authentication to IKE Messages
SKEYID_e—Used to Encrypt IKE Messages

$$\text{SKEYID} = \text{PRF}(N_i | N_r, g^{ab})$$

$$\text{SKEYID}_d = \text{PRF}(\text{SKEYID}, g^{ab} | \text{CKY-I} | \text{CKY-R} | 0)$$

$$\text{SKEYID}_a = \text{PRF}(\text{SKEYID}, \text{SKEYID}_d | g^{ab} | \text{CKY-I} | \text{CKY-R} | 1)$$

$$\text{SKEYID}_e = \text{PRF}(\text{SKEYID}, \text{SKEYID}_a | g^{ab} | \text{CKY-I} | \text{CKY-R} | 2)$$

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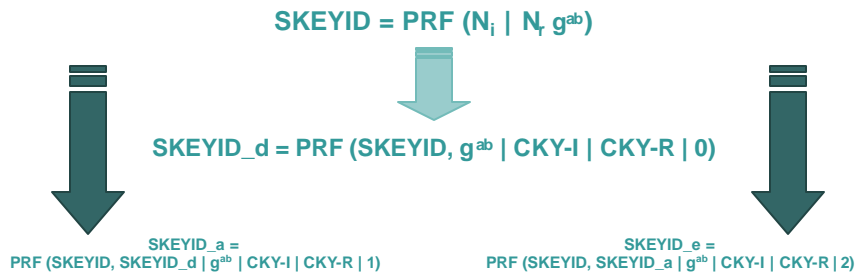
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IKE Phase 1 (Main Mode): (Digital Signatures) Preparation for Sending 'Message 5 and 6'

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Calculation of Three Keys (Responder)

SKEYID_d—Used to Calculate Subsequent IPsec Keying Material
 SKEYID_a—Used to Provide Data Integrity and Authentication to IKE Messages
 SKEYID_e—Used to Encrypt IKE Messages



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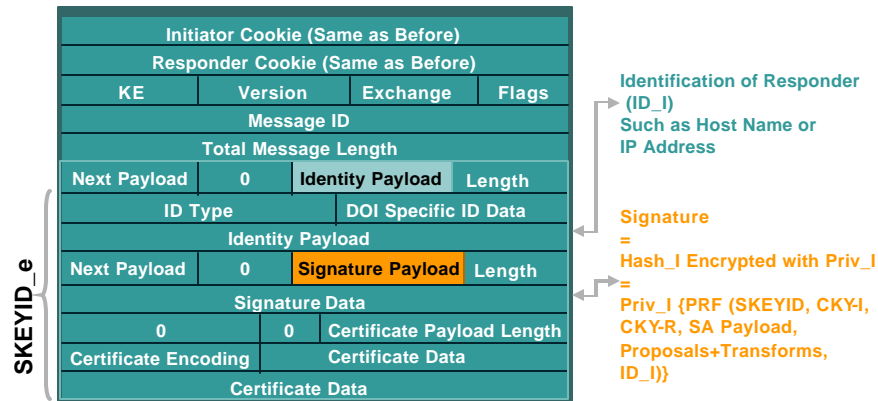
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IKE Phase 1 (Main Mode): (Digital Signatures) Sending 'Message 5'

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The Initiator Sends Its Authentication Material and ID



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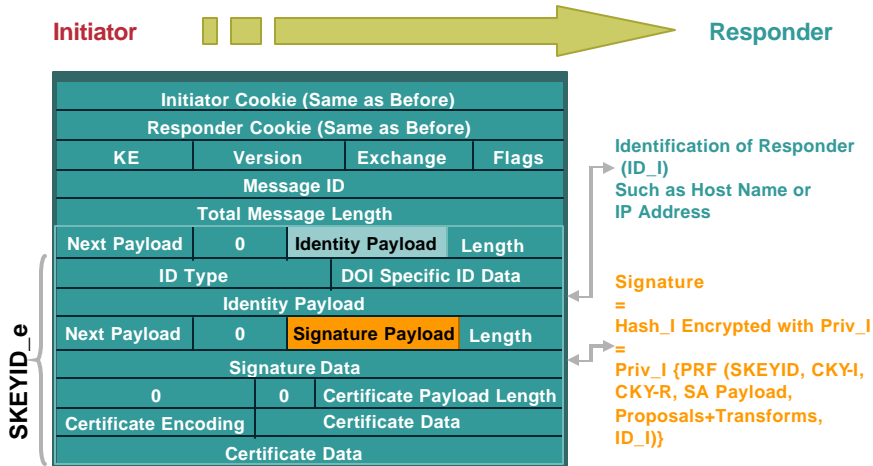
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IKE Phase 1 (Main Mode): (Digital Signatures) Sending 'Message 6'

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The Responder Sends Its Authentication Material and ID



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IKE Phase 1 (Main Mode): (Digital Signatures) Completion of Phase 1

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Initiator Authenticates the Responder

1. Decrypt message using SKEYID_E
2. Decrypt Hash_R using Pub_R
3. Calculate Hash_R on its own
4. If received Hash_R = self-generated Hash_R then authentication = successful!!

Responder Authenticates the Initiator

1. Decrypt message using SKEYID_E
2. Decrypt Hash_I using Pub_I
3. Calculate Hash_I on its own
4. If received Hash_I = self-generated Hash_I then authentication = successful!!



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IKE Phase 1 (Quick Mode): Preparation for Sending 'Message 1 and 2'

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Goal: Negotiation of IPsec SA

Execution of DH by Initiator Again to Ensure PFS

New Nonce Generated: N_i'

New DH Public Value = X_a'

$$X_a' = g^a \text{ mod } p$$

Where g Is the Generator and p a Large Prime Number
and a Is a Private Secret Known Only to the Initiator

Execution of DH by Responder Again to Ensure PFS

New Nonce Generated: N_r'

New DH Public Value = X_b'

$$X_b' = g^b \text{ mod } p$$

Where g Is the Generator and p a Large Prime Number
and b Is a Private Secret Known Only to the Responder

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IKE Phase 2 (Quick Mode): Sending 'Message 1'

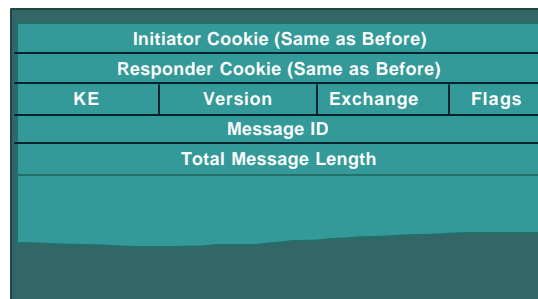
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The Initiator Sends Authentication/keying Material and
Proposes a Set of Attributes to Base the SA on

Initiator



Responder



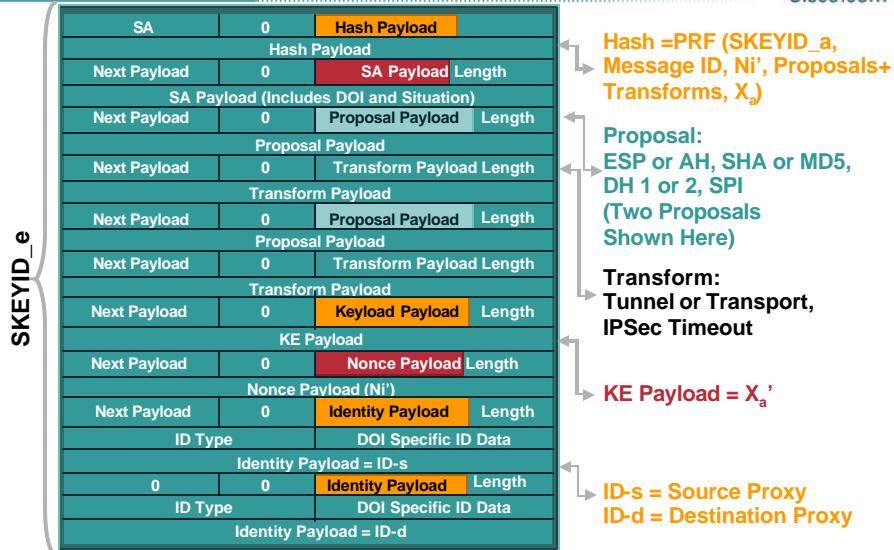
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IKE Phase 2 (Quick Mode): Sending 'Message 1'

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IKE Phase 2 (Quick Mode): Sending 'Message 2'

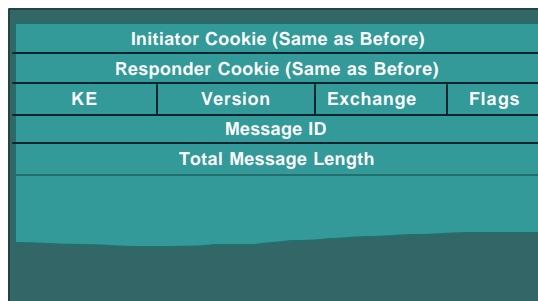
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The Responder Sends Authentication/Keying Material and Proposes a Set of Attributes to Base the SA on

Initiator



Responder



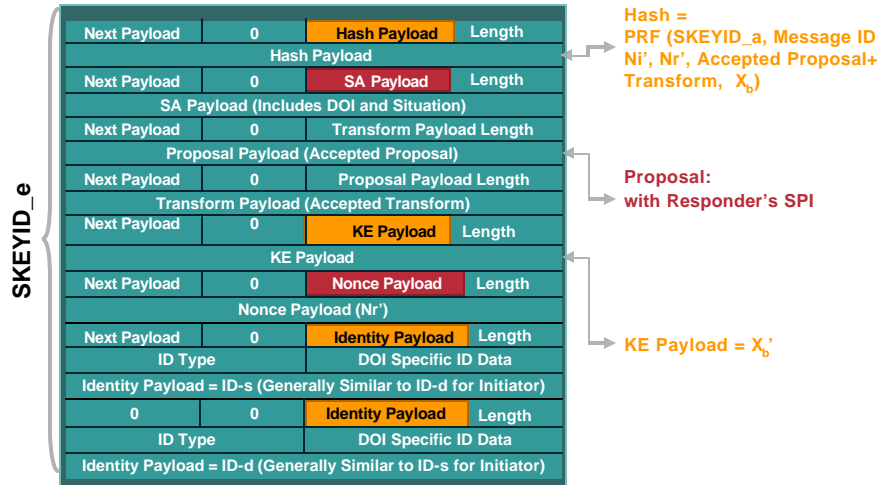
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IKE Phase 2 (Quick Mode): Sending 'Message 2'

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IKE Phase 2 (Quick Mode): Completion of Phase 2

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Initiator Generates IPsec Keying Material

1. Generate new DH shared sSecret = $(X_b')^a \text{ mod } p$
2. IPsec session key =
PRF (SKEYID_d, protocol (ISAKMP),
new DH shared secret, SPI_r, N_i', N_r')

Responder Generates IPsec Keying Material

1. Generate new DH shared secret = $(X_a')^b \text{ mod } p$
2. IPsec session key =
PRF (SKEYID_d, protocol (ISAKMP),
new DH shared secret, SPI_i, N_i', N_r')

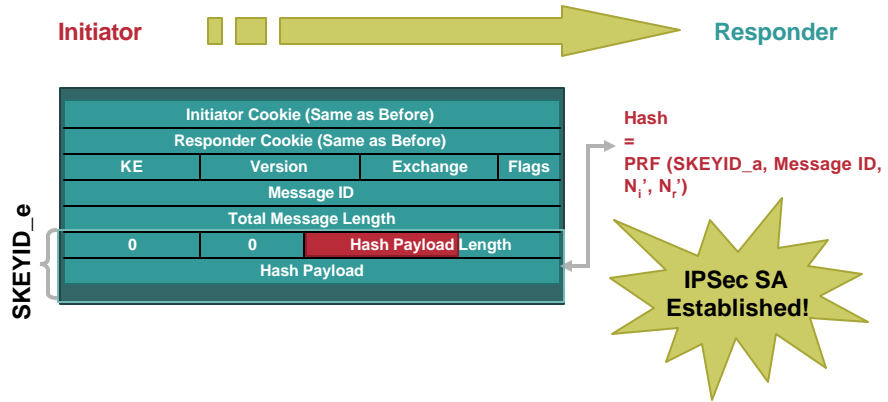
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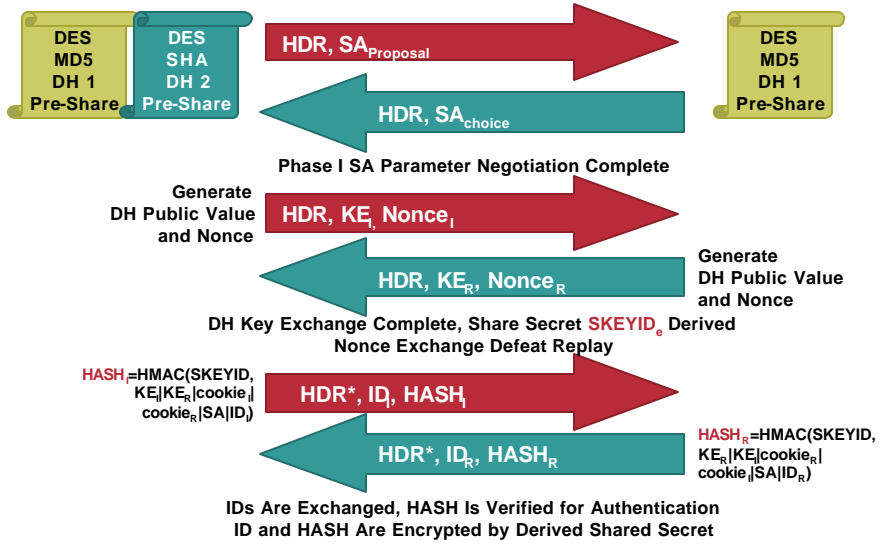
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IKE Phase 2 (Quick Mode): Sending 'Message 3'

The Initiator Sends across a Proof of Liveness

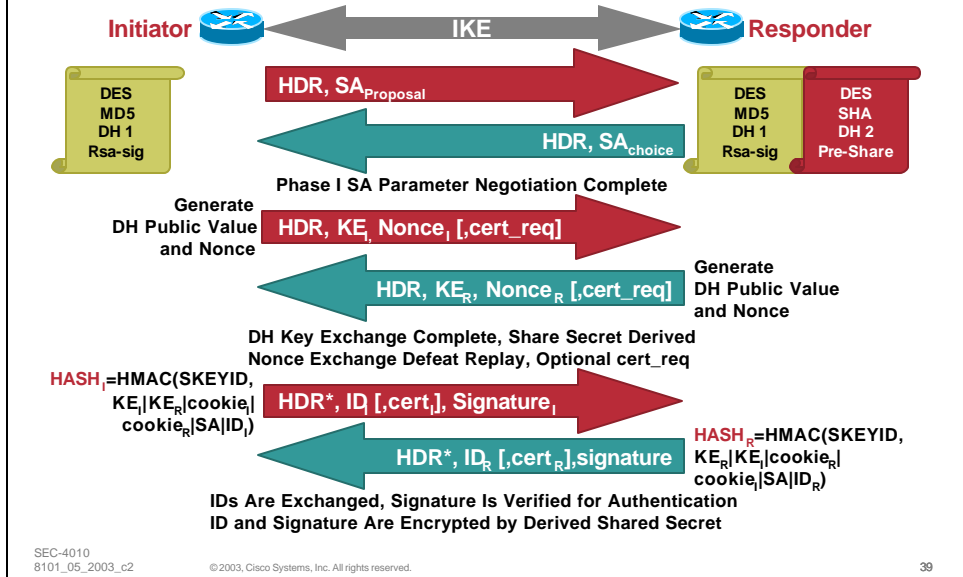


One Page Summary: Pre-Shared Main Mode



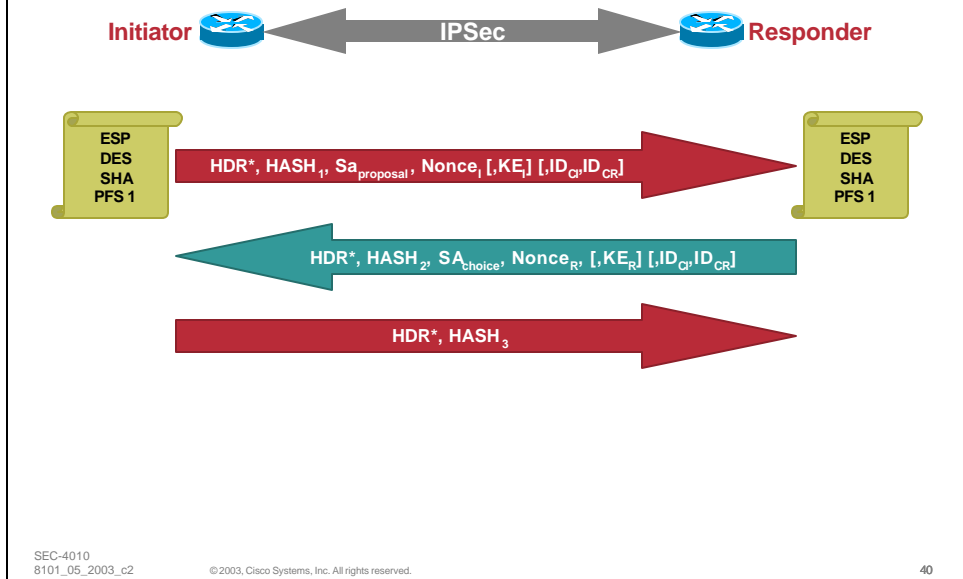
One Page Summary: Signatures Main Mode

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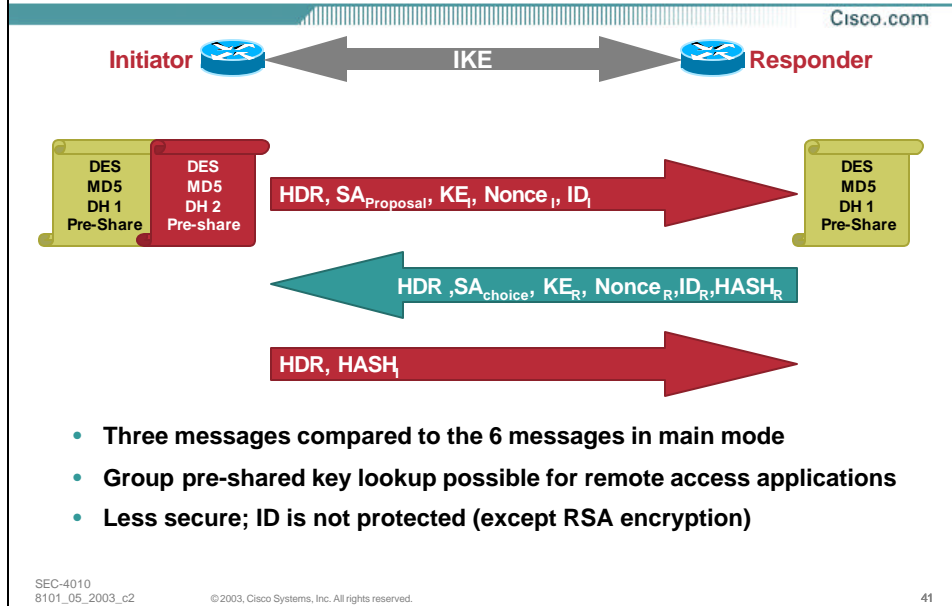


One Page Summary: Quick Mode

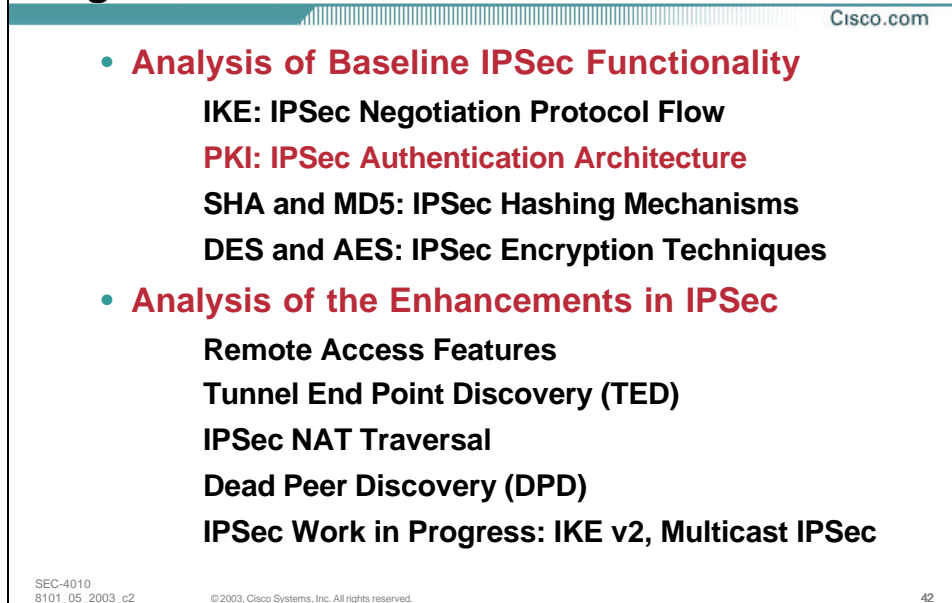
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Aggressive Mode Using Pre-Shared Key: A Quick Overview

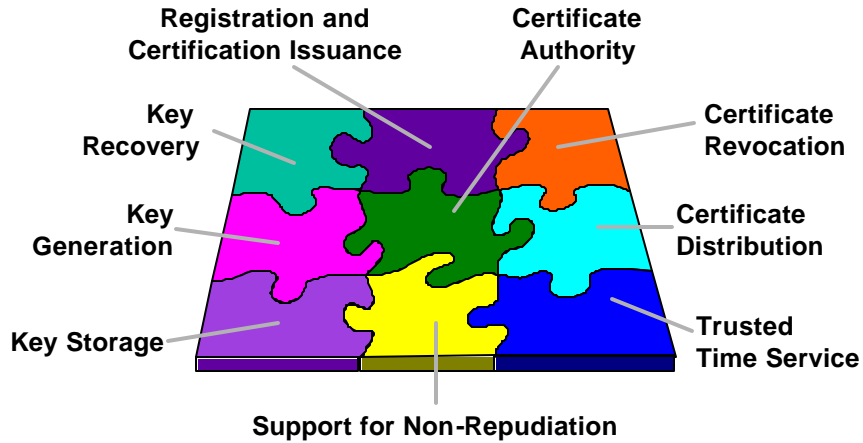


Agenda



PKI: IKE Authentication Architecture

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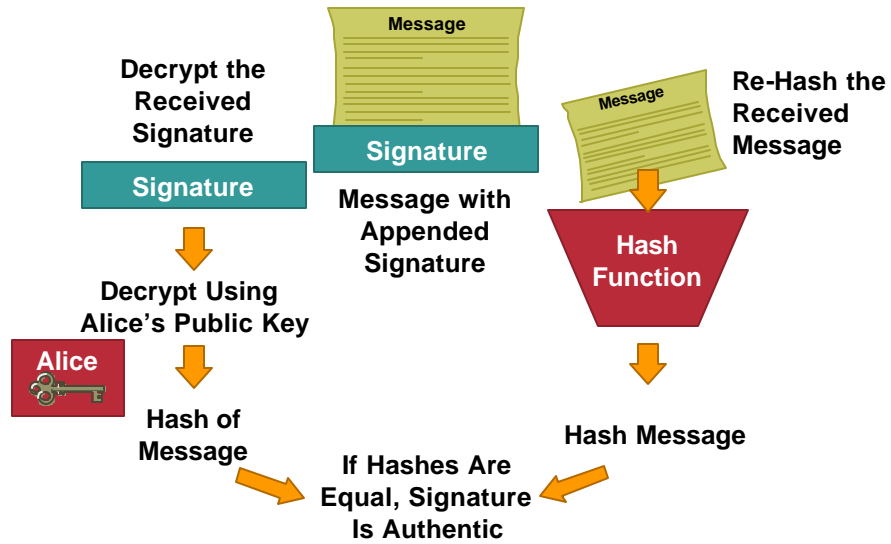
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Signature Verification

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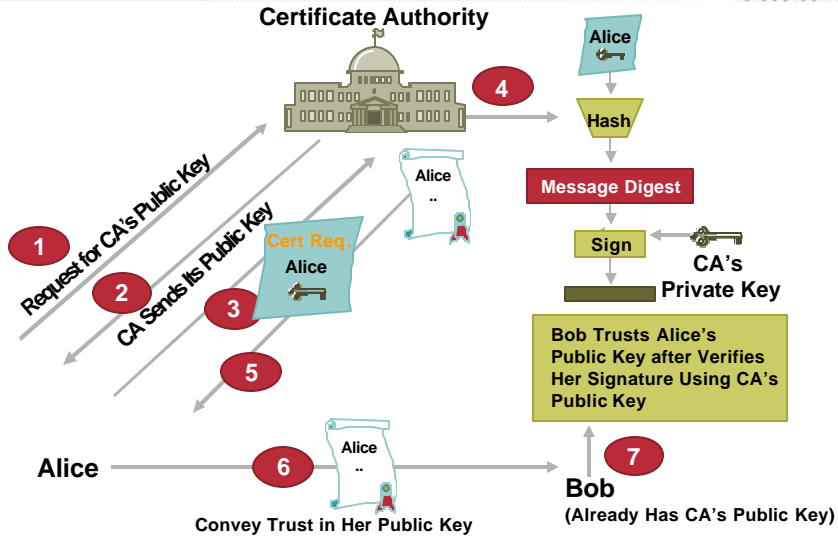
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Digital Certification

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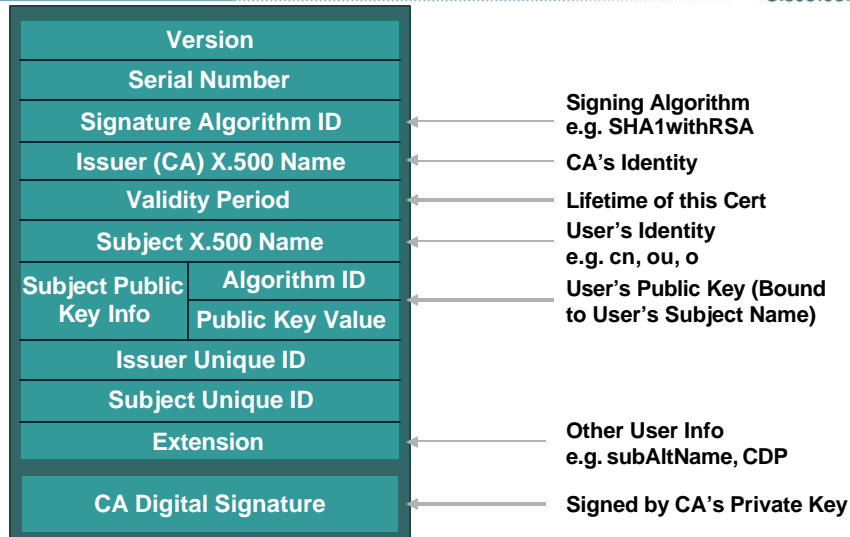
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X.509 v3 Certificate

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Simple Certificate Enrollment Protocol (SCEP)

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- A PKI communication protocol that supports secure issuance certificates to network device in a scalable manner
- Use existing PKCS standards:
 - PKCS #1, RSA algorithms
 - PKCS #7, digital signature, digital envelop
 - PKCS #10, certificate request syntax
- Uses HTTP as transport for certificate enrollment, access
- Uses LDAP or HTTP for CRL support

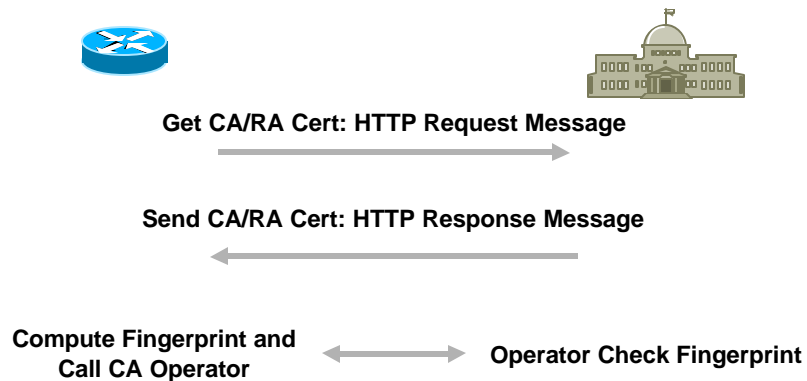
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SCEP Overview— Getting CA's Certificate

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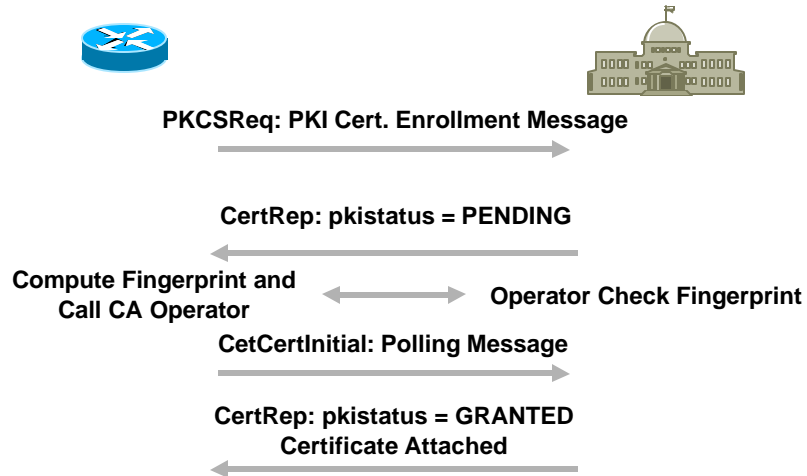
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SCEP Overview—Enrollment

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Agenda

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- **Analysis of Baseline IPsec Functionality**
 - IKE: IPsec Negotiation Protocol Flow
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 - SHA and MD5: IPsec Hashing Mechanisms**
 - DES and AES: IPsec Encryption Techniques
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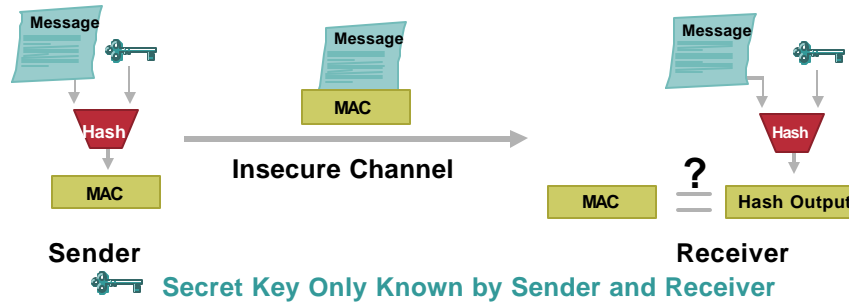
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Message Authentication and Integrity Check Using Hash

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- MAC (Message Authentication Code): cryptographic checksum generated by passing data thru a message authentication algorithm
- MAC is often used for message authentication and integrity check
- HMAC—keyed hashed-based MAC

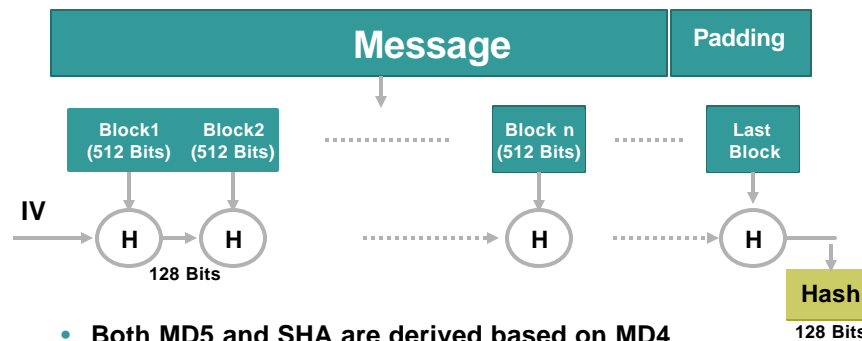
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Commonly Used Hash Functions (MD5 and SHA)

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- Both MD5 and SHA are derived based on MD4
- MD5 provides 128-bit output, SHA provide 160-bit output; (only first 96 bits used in IPsec)
- Both of MD5 and SHA are considered **one-way strongly collision-free** hash functions
- SHA is computationally slower than MD5, but more secure

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Agenda

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Data Encryption Standard (DES)

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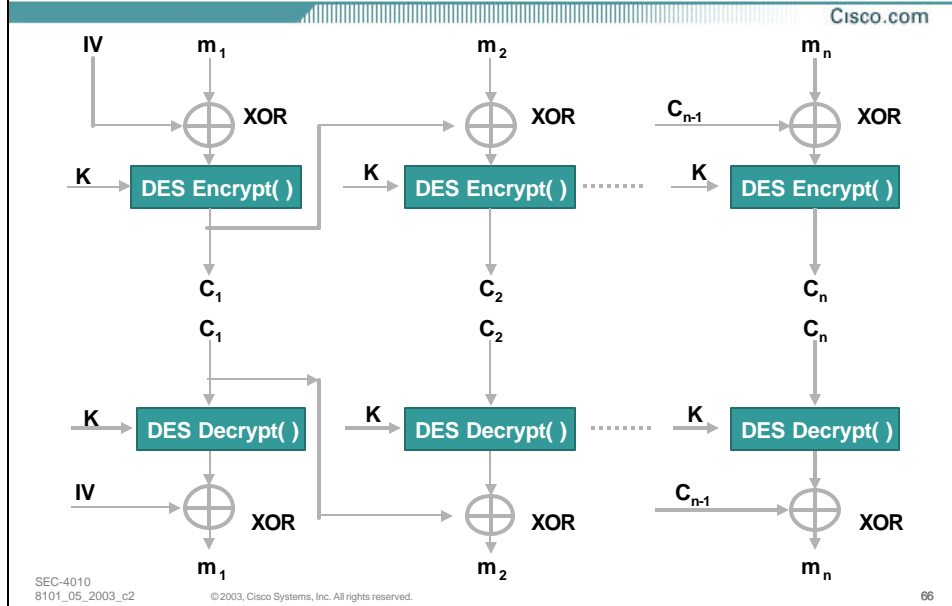
- **Symmetric key encryption algorithm**
- **Block cipher: works on 64-bit data block, use 56-bit key (last bit of each byte used for parity)**
- **Mode of operation: how to apply DES to encrypt blocks of data**
 - Electronic Code Book (ECB)**
 - Cipher Block Chaining (CBC)**
 - K-bit Cipher FeedBack (CFB)**
 - K-bit Output FeedBack (OFB)**

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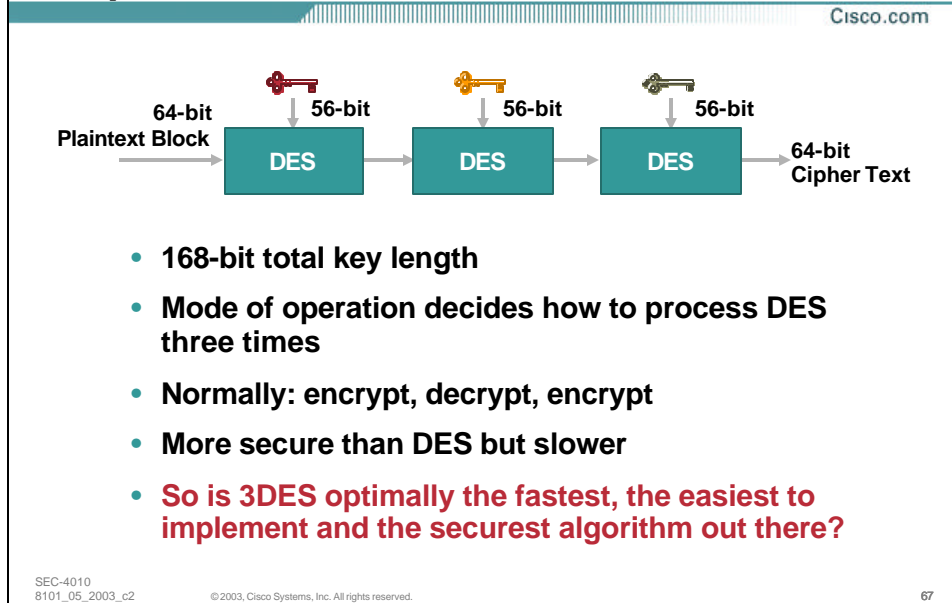
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DES CBC Mode



Triple-DES



'Rijndael...the chief drawback to this cipher is the difficulty Americans have pronouncing it...The designers, Vincent Rijmen and Joan Daemen, know what they are doing.'

Bruce Schneier

AES: the New Encryption Standard

Cisco.com

- **'Advanced Encryption Standard' formerly known as 'Rijndael'**
- **Successor to DES and 3DES**
- **Will ultimately become the default ESP cipher**
- **Symmetric key block cipher**
- **Strong encryption with long expected life**
- **AES can support 128, 192 and 256 keys strengths but 128 is considered safe**
- **HMAC-SHA-1 and HMAC-MD5 can serve as the IKE generators of the 128 bit AES keys**

AES: Pseudo Code

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```
Cipher(byte in[4*Nb], byte out[4*Nb], word w[Nb*(Nr+1)])
begin
  byte state[4,Nb]
  state = in
  AddRoundKey(state, w[0, Nb-1])
  for round = 1 step 1 to Nr -1
    SubBytes(state)
    ShiftRows(state)
    MixColumns(state)
    AddRoundKey(state, w[round*Nb, (round+1)*Nb-1])
  end for
  SubBytes(state)
  ShiftRows(state)
  AddRoundKey(state, w[Nr*Nb, (Nr+1)*Nb-1])
  out = state
end
```

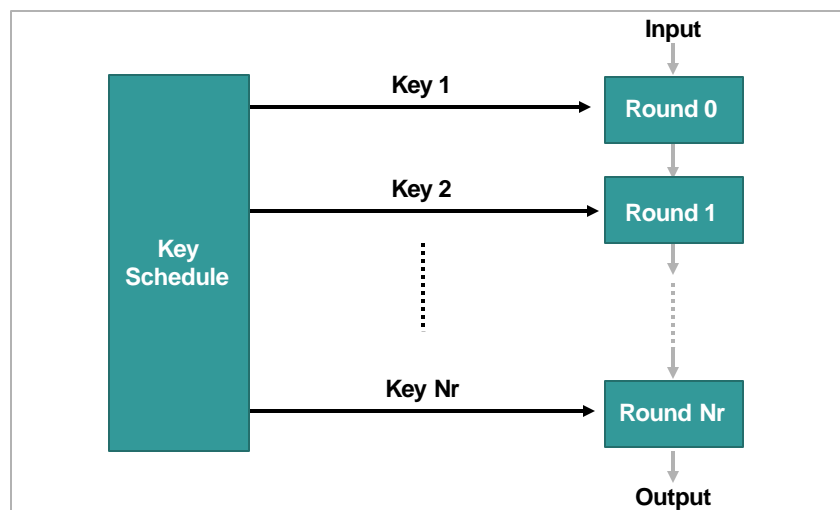
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AES: The Complete Cipher

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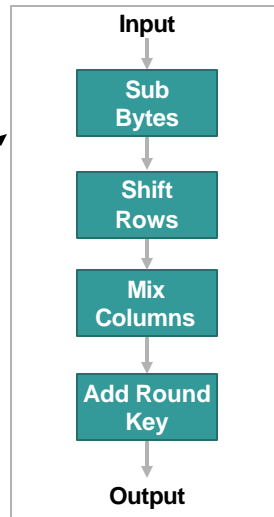
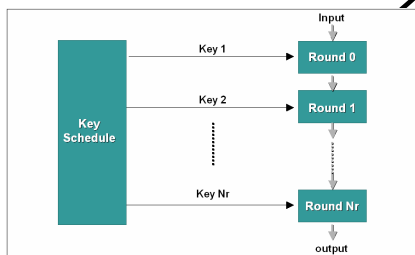
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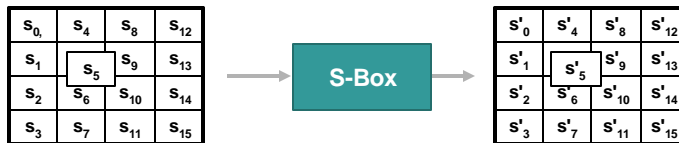
AES: Individual Rounds

Note: Last Round Is Slightly Different from the Rest of the Rounds



AES Functions: SubBytes and ShiftRows

SubBytes

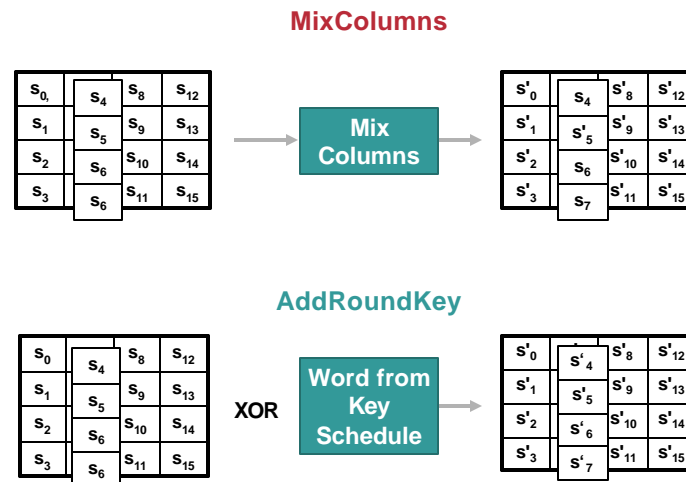


ShiftRows



AES Functions: MixColumns and AddRoundKey

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Remote Access Features

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- Mode config
- Extended authentication

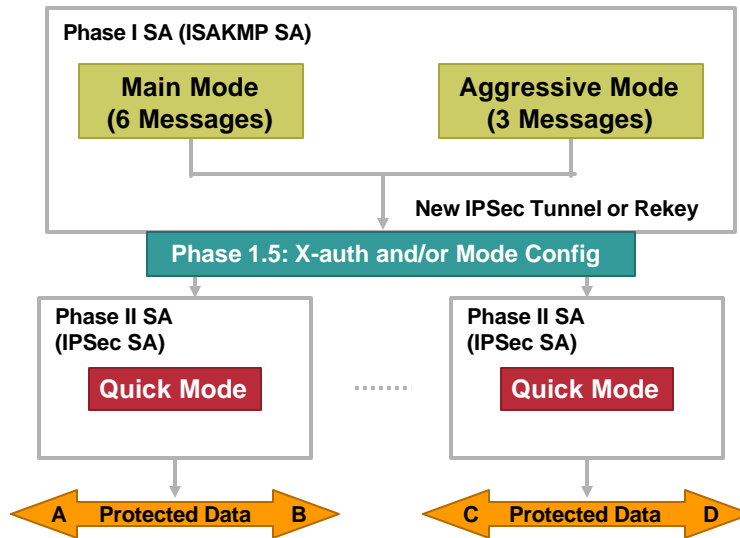
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Placement of Mode Config and X-auth in IKE

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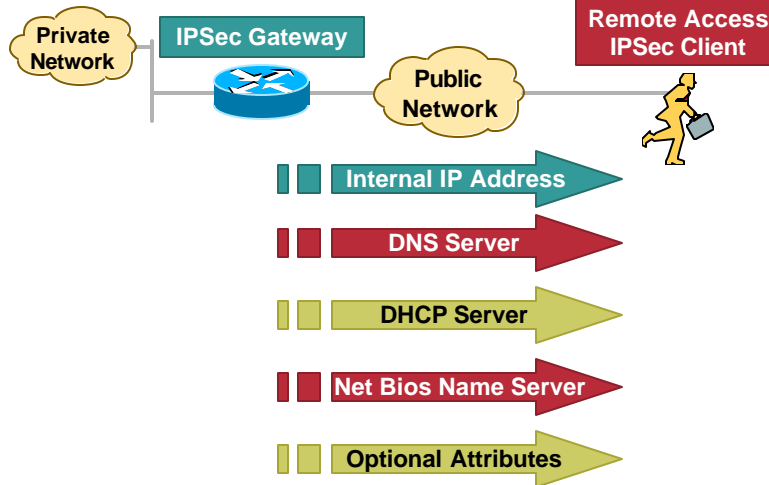
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Mode Config

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Mechanism Used to Push Attributes to Remote Access IPsec Clients



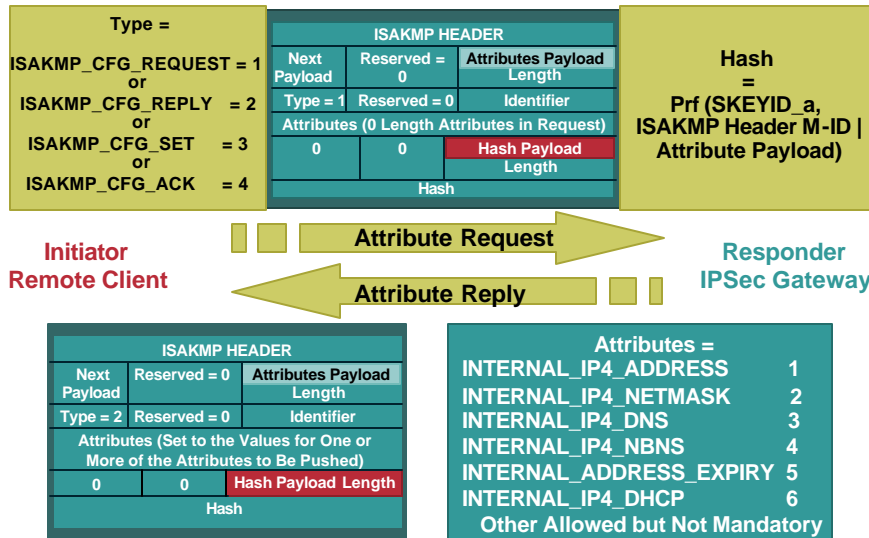
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Mode Config Protocol Specifications

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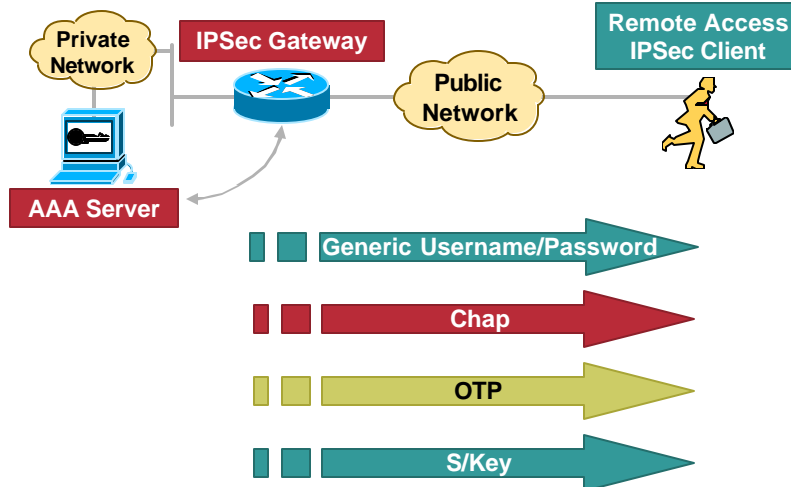
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X-auth

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Mechanism Used to Perform Per User Authentication for RA Clients



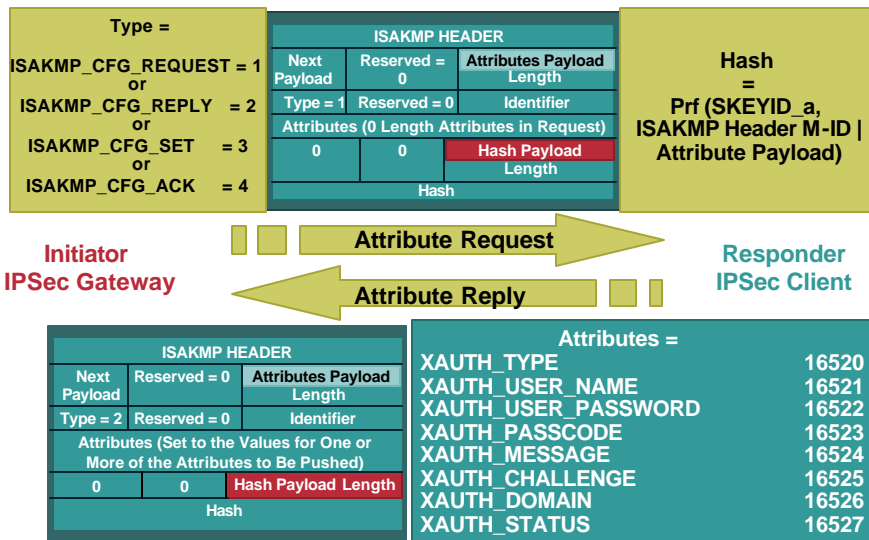
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X-auth Protocol Specifications

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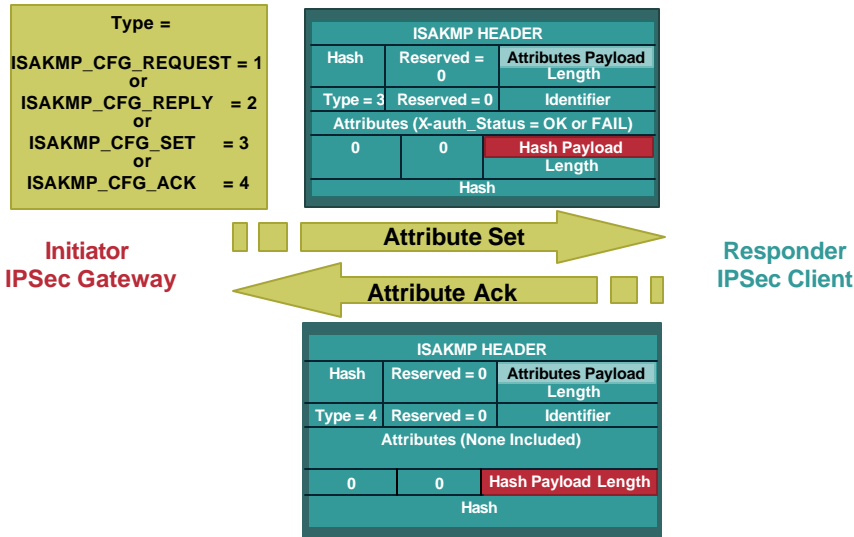
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X-auth Protocol Specifications

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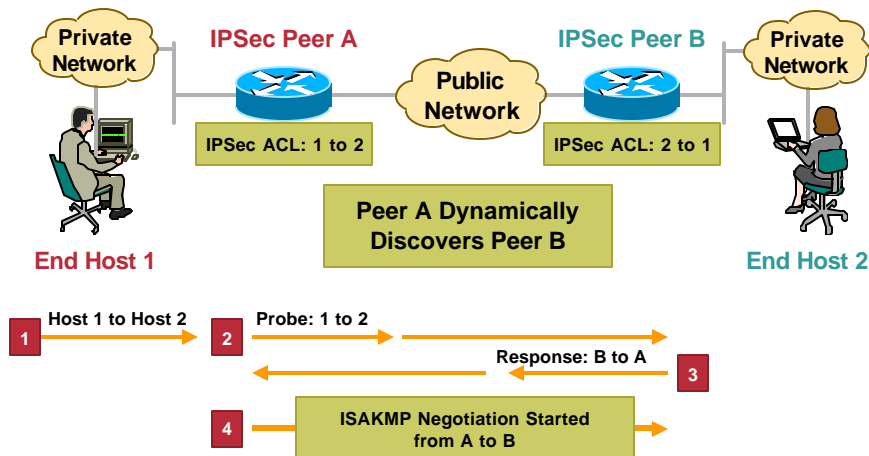
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TED (Tunnel Endpoint Discovery)

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Mechanism Used to Dynamically Discover Peer and Negotiate Proxies



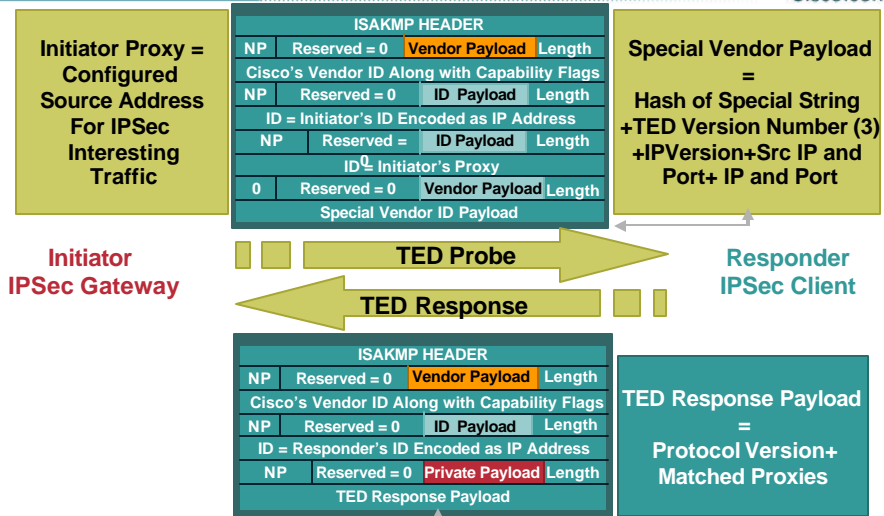
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TED Protocol Specifications

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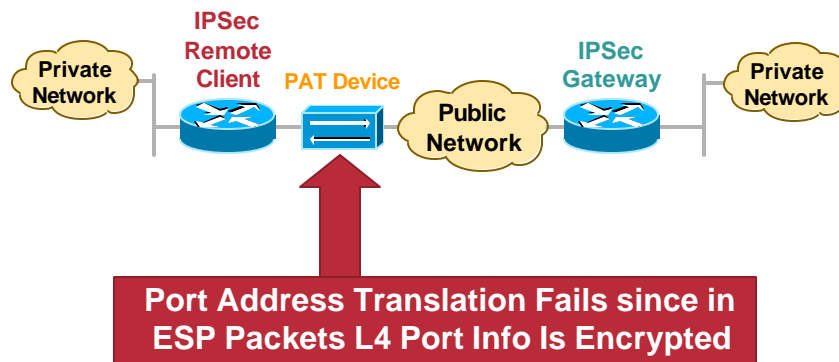
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IPSec and NAT: The Problem

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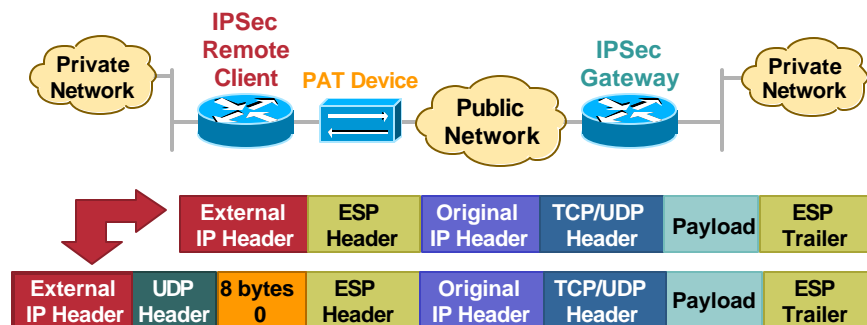
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IPSec and NAT: Three Solutions

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- Always on IPSec over UDP (most deployed)
- Always on IPSec over TCP (an alternate solution)
- Need based IPSec NAT traversal (in the works)



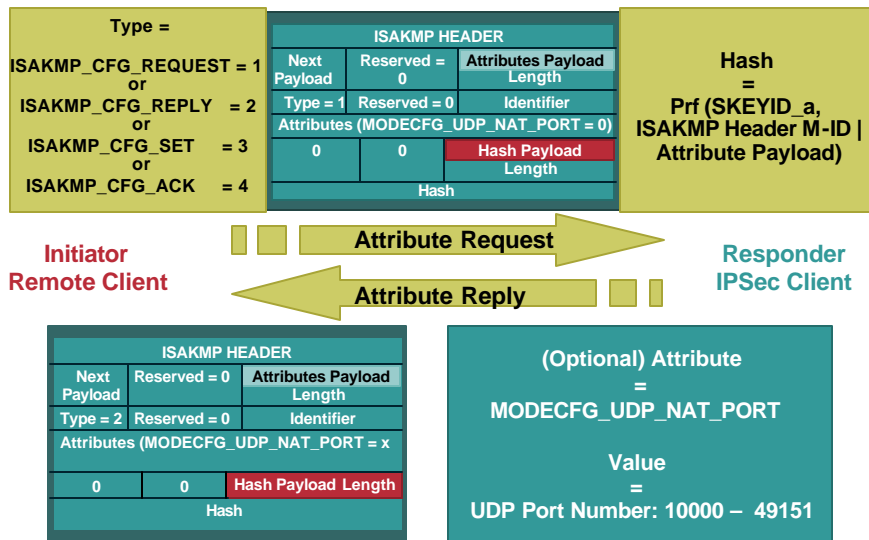
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Always on IPSec over UDP: Part of Mode Config

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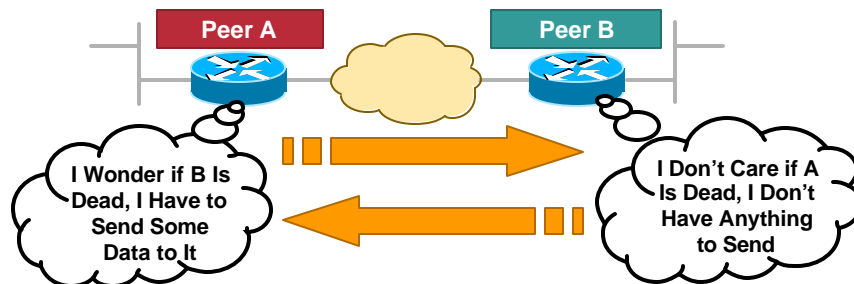
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DPD Rules

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Passage of IPSec Traffic Is Proof of Liveliness

DPD Is Asynchronous

Each Peer Sets Its Own WORRY METRIC

Check on Peer Only if there Is a Need to Do So

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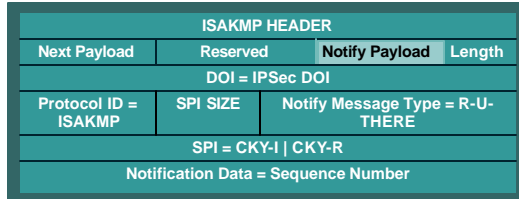
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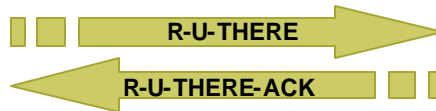
DPD Protocol Specifications

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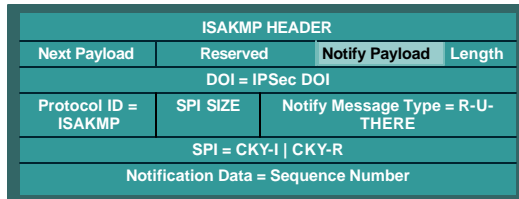
Vendor ID Payload Is Exchanged in IKE Negotiation Beforehand



Initiator



Responder



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IKE v2: Replacement for Current IKE Specification

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- **Feature preservation**

Most of the features and characteristics of the baseline parent IKE v1 protocol are being preserved in v2

- **Compilation of features and extensions**

Quite a few features that were added on top of the baseline IKE protocol functionality in v1 are being reconciled into the mainline v2 framework

- **New features**

A few new mechanisms and features are being introduced in the IKE v2 protocol as well

**(Please Note that This Information Is Current as of February 2003;
Finalization of the Specifications of the IKE V2 Protocol Is Still a Work in Progress)**

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IKE v2: What Is Not Changing

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- **Features in v1 that have been debated but are ultimately being preserved in v2**

Two phases of negotiation

Use of nonces to ensure uniqueness of keys

- **v1 extensions and enhancements being merged into mainline v2 specification**

Use of a 'configuration payload' similar to MODECFG for address assignment

'X-auth' type functionality retained through EAP

Use of NAT Discovery and NAT Traversal techniques

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IKE v2: What Is Changing

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- **Significant changes being made to the baseline functionality of IKE**

Use of suites for algorithm negotiation

EAP adopted as the method to provide legacy authentication integration with IKE

Public Signature keys and pre-shared keys, the only methods of IKE authentication

Use of 'stateless cookie' to avoid certain types of DOS attacks on IK

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IPSec Work in Progress: IKE v2, Multicast IPSec

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What Is a Multicast Group?

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- Two or more parties who send and receive the same data transmitted over a network
- Packet delivery can be multicast, or unicast (where identical data is directed to each group member)
- Group members can be routers, PCs, telephones, any IP device
- There are many different examples of group topologies

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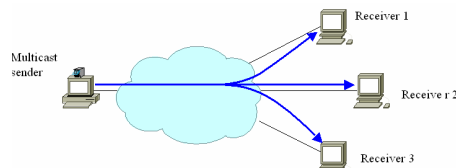
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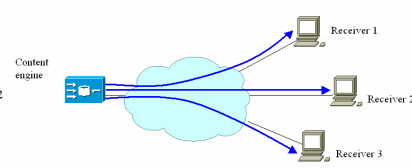
Types of Multicast Groups

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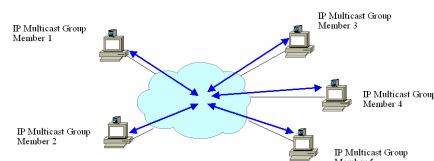
Single-Source Multicast



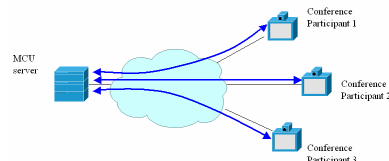
Publish-Subscribe



Multiple-Source Multicast



Multipoint Control Unit



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Securing Multicast Groups

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What Is Needed to Secure Group Traffic?

- **Policy distribution**

Distribution of the knowledge that group traffic is protected, and what is needed to participate in the group

- **Protect the data in transit**

Only group members should be able to participate in the group

Non-group members should not be able to spoof or disrupt group communication

- **Deliver keys to all group members**

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Solution: GDOI and Key Server

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- **Group Domain of Interpretation (GDOI)**

Re-uses IKE protocols and definitions

IETF MSEC Internet Draft stage

- **Key server method**

A key server unilaterally chooses the keys

Group members join by registering with the key server

The key server replaces keys when a group member leaves

Can scale to very large groups by using multiple collaborating key servers

(Please Note that This Information Is Current as of the Beginning of 2003; GDOI Is Still a Work in Progress)

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GDOI Overview

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- **Distributes keys and policy for groups**
 - Security associations and keys
- **Can efficiently re-key the group when needed**
 - When a member joins/leaves the group
 - When an existing SA is about to expire
- **Quickly and efficiently eject a group member**

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GDOI Protocol Flow

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- **Two phases**
 - IKE phase 1 protocol
 - GDOI registration protocol



- **Security protections**
 - IKE phase 1 provides authentication, confidentiality, and integrity
 - GDOI registration provides authorization and replay protection

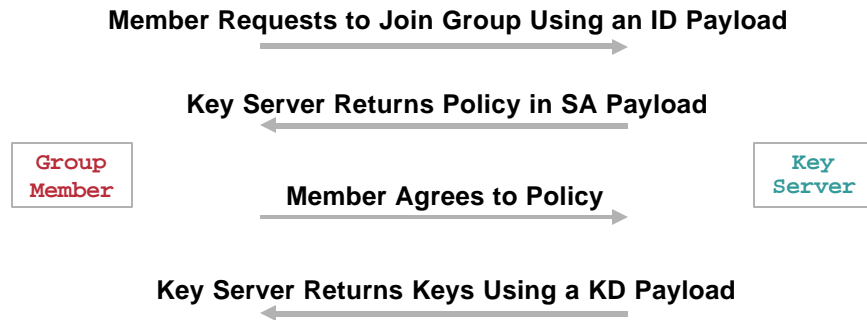
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GDOI Registration Protocol

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GDOI Registration Results

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- **When registration is complete a group member has:**
 - Data security SAs and keys**
 - GDOI Rekey SA and keys**
(if defined to be part of the group policy)

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GDOI Rekey Message

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- **One message exchange**
 - Sent from key server to all group members
 - IP multicast message is the most efficient distribution
- **Security protections**
 - Authentication/integrity provided by a digital signature on the message
 - Confidentiality provided using keys distributed during GDOI registration
 - Replay protection through use of a message sequence number



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GDOI Rekey Results

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- **When rekey is complete a group member has one or more of the following:**
 - New data security SAs and keys
 - New GDOI Rekey SA and keys

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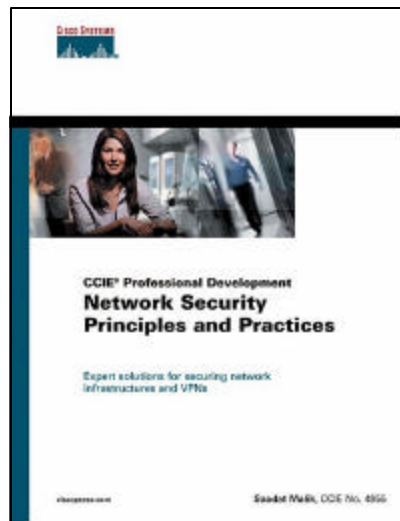
So, Where Can I Read in Detail about All This?

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'Network Security Principles and Practices'

by Saadat Malik

Also Available at the Networkers
CiscoPress Booth



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